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Docket No.: 04117/000K528-US0

Docket No.: 04117/000K528-US0  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Mark A. Nordlicht, et al.

Application No.: 09/653,102

Art Unit: 3624

Filed: August 31, 2000

Examiner: Geoffrey R. AKERS

For: **SYSTEM AND METHOD FOR REAL-TIME  
OPTIONS TRADING OVER A COMPUTER  
NETWORK**

**RESPONSE TO OFFICE ACTION**

April 5, 2004

MS Non-Fee Amendment  
Commissioner of Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**RECEIVED**

**APR 13 2004**

Sir:

**GROUP 3600**

**INTRODUCTORY COMMENTS**

This is a response to the Office Action mailed October 3, 2003 (paper no. 18) in the above-identified patent application.

**Remarks** begin on page 2 of this paper.